

INTERGOVERNMENTAL AGREEMENT #6636
BETWEEN THE STATE OF OREGON AND YAMHILL COUNTY

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This Agreement is between the State of Oregon acting by and through its Department of Corrections, hereafter called DEPARTMENT, and Yamhill County, hereafter called COUNTY.

Whereas, DEPARTMENT is an agency of the State of Oregon and COUNTY is a unit of local government of the State of Oregon and both parties desire to cooperate by agreement to provide correctional services in COUNTY within the requirements as authorized by ORS 423.475 to 423.565;

Whereas, the Legislative Assembly of Oregon enacted legislation establishing shared responsibility between county corrections programs and the DEPARTMENT on a continuing basis (ORS 423.475 to 423.565);

Whereas, ORS 144.106 provides "the supervisory authority shall use a continuum of administrative sanctions for violations of post-prison supervision";

Whereas, Oregon Laws 2008, chapter 14 (Measure 57) was passed by voters of the State of Oregon increasing sentences for certain drug trafficking and theft crimes, requiring addiction treatment for certain offenders at risk of reoffending, and authorizing DEPARTMENT to make grants to counties to provide supplemental funding;

Whereas, supplemental funds have been made available to counties for treatment of drug-addicted persons, in accordance to OAR Chapter 291, Division 31;

Whereas, supplemental funds are made available to counties based on a formula that matches the COUNTY's percentage share of community corrections grant-in-aid funds;

Whereas, the DEPARTMENT will administer distribution of grants to counties;

Now, therefore, THE PARTIES HERETO, in consideration of the mutual promises, terms and conditions hereinafter provided, agree to the following:

I DEFINITIONS

- A. Amendment: Any change to this Agreement that alters the terms and conditions of the Agreement. Plan Modifications are NOT Amendments.
- B. Budget Summary: A budget submitted by COUNTY and approved by DEPARTMENT which identifies personnel, materials, services and funding COUNTY will use to implement the Plan. COUNTY's Intervention Budget Summary is described in Exhibit A, which is incorporated into and made part of this Agreement.
- C. Community Corrections Manager: Individual designated by COUNTY pursuant to ORS 423.525 as responsible for administration of the community corrections programs as set forth by the Plan.
- D. County Corrections: All COUNTY agencies and officials who carry out the responsibilities in ORS 423.478(2)(a)-(f) and the activities of carrying out those responsibilities.
- E. Supplemental Funding Intervention Grant or Grant: Grant(s) made by DEPARTMENT to assist COUNTY in the implementation and operation of the Plan under this Agreement.

- F. Supplemental Funding Intervention Plan or Plan: A document developed by the COUNTY and approved by the DEPARTMENT which describes COUNTY's approach to providing effective Interventions for drug addicted adults on supervision under COUNTY supervision. The County Intervention Plan is described in Exhibit A, County Intervention Plan and Budget Summary.
- G. Intervention: A response to Participant compliance with conditions of the Plan.
- H. Participant: An adult, under supervision of the COUNTY and enrolled in the Plan.
- I. Plan Modification: A written change or alteration to the Plan promulgated by COUNTY modifying the Plan.
- J. Sanctions or Structured Sanctions: A response to violation by an adult on supervision of conditions of supervision that uses custody units.
- K. Statewide Evaluation and Information System: The Corrections Information Systems (CIS) including the Offender Profile System (OPS), the Integrated Supervision Information System (ISIS), Case Management for Institutions (CMI), Offender Management System (OMS), Offender Information System (OIS), Interstate Compact Offender Tracking System (ICOTS), and related case management modules.
- L. Supervisory Authority: The local corrections official or officials designated in each COUNTY by that COUNTY's Board of County Commissioners or county court to operate corrections supervision services, custodial facilities or both.
- M. Texas Christian University (TCU) Assessment Tool: The Texas Christian University Assessment tool, to be used on Participants in COUNTY program, mandated by the DEPARTMENT.

II AUTHORITY AND DURATION

A. Authority

This Agreement is entered into pursuant to the provisions of ORS 423.520.

B. Duration

This Agreement will become effective on **July 1, 2023** and will remain in effect until **June 30, 2025** or until terminated according to Section XI (*Termination*).

III PLAN; PLAN MODIFICATIONS

- A. The Plan must be received and approved by DEPARTMENT before disbursements of Grant funds described in Section VIII can be made to COUNTY.
- B. Plan Modifications: COUNTY and DEPARTMENT agree that the Plan must remain a flexible instrument capable of responding to unforeseen needs and requirements. A copy of all Plan Modifications will be marked in sequence beginning with the designation "Plan Modification 1" and attached to the above-mentioned Plan.

DEPARTMENT will notify COUNTY of any concerns about the modification or the need for an amendment within a 30 calendar day period after DEPARTMENT receives the Plan Modification.

- C. Notice of Modification: DEPARTMENT shall provide to COUNTY an approved form for modifications as soon as practicable after execution of this Agreement.
- D. Plan Modifications shall become effective upon the date the Plan Modification is approved in writing by the DEPARTMENT.

IV AMENDMENTS GENERALLY

The terms of this Agreement shall not be waived, altered, modified, supplemented or amended, in any manner whatsoever, except by written Amendment signed by the parties. An Amendment shall become effective only after all parties have signed and all approvals have been obtained.

V DUTIES AND RESPONSIBILITIES OF COUNTY

- A. COUNTY shall assume administrative responsibility to provide services as outlined in the Plan.
- B. COUNTY shall incorporate the principles described below into the Plan:
 - 1. Treatment programs shall be evidence-based. Evidence-based programs are delivered consistent with the findings in research about what works best to reduce recidivism.
 - 2. Assessment which is standardized, objective, and comprehensive shall be used to prioritize treatment, determine criminal risk factors, and to determine the proper level of care. Assessments of risk shall be based on actuarial risk assessment tools.
 - 3. Rules, requirements and expectations for Participants, including consequences for success and for failure are made formal and clear by an authority figure.
 - 4. An individual case plan shall be developed for each Participant. The case plan shall include criminal risk factors in addition to addiction that will be addressed in treatment.
 - 5. Treatment program design shall address issues of motivation. Treatment options shall be available for Participants consistent with their assessed stage of change.
 - 6. Treatment program design shall be culturally competent and responsive when identifying individuals who would be best served by a specific program.
 - 7. Treatment shall be based on cognitive and behavioral interventions and social learning approaches. Treatment programs shall be of sufficient length and intensity to produce stable behavior changes based on replacing old

patterns of thinking and behaving and learning and practicing new skills for avoiding drug use and criminal behavior.

8. The Plan shall utilize a system of graduated Sanctions and incentives which are swift and certain and which encourage recovery goals while holding Participants accountable for non-compliant behaviors.
 9. Drug testing may be used as a treatment or accountability tool. There shall be a response, either an intervention or sanction, for this or any other rule violation, but that response shall not automatically result in withdrawal from treatment. Sanctions shall be administered in a manner to assure longer stays in treatment which are associated with good outcomes.
 10. Co-ed treatment shall be avoided if possible.
 11. Programs shall include relapse prevention planning and comprehensive transition planning so that participants are more likely to adjust to the next level of care or change in living situation.
 12. Addictions treatment programs must be licensed by the State of Oregon to provide addictions treatment.
- C. COUNTY shall incorporate the following data requirements into the Plan:
1. COUNTY will identify Participants through the indicating 'Y' under the M57 Tx data field, located in the Treatment Module.
 2. The start and stop date of the actual program participation, as well as program exit code, will be entered into the CIS Treatment Module.
 3. Program Participants will be assessed for level of severity of addiction, using the Texas Christian University assessment tool (available at no cost), and enter corresponding data as determined by DEPARTMENT.
- D. COUNTY will prepare and furnish such data, descriptive information and reports as may be requested by DEPARTMENT as needed to comply with ORS 423.520, which states in part, "The department shall require recipients of the grants to cooperate in the collection and sharing of data necessary to evaluate the effect of community corrections programs on future criminal conduct." COUNTY will enter data into the Statewide Evaluation and Information System in a complete, accurate, and timely manner. COUNTY acknowledges and agrees that DEPARTMENT has the right to reproduce, use and disclose all or any part of such reports, data and technical information furnished under this Agreement.
- E. COUNTY will permit authorized representatives of DEPARTMENT to make such review of records of COUNTY as may be necessary to satisfy audit and/or program review purposes. A copy of any audit or monitoring report will be made available to COUNTY.
- F. COUNTY will follow DEPARTMENT's prescribed allotment and expenditure reporting system in accordance with Exhibit A. This system will be used for

controlling Supplemental Funding Intervention Grant funds by DEPARTMENT and to provide suitable records for an audit.

- G. If funding from DEPARTMENT is reduced or discontinued by legislative action, COUNTY will not be required to increase use of COUNTY revenue for continuing or maintaining corrections services as set out in this Agreement.

VI DEPARTMENT RESPONSIBILITIES. The DEPARTMENT will:

- A. Participate according to this Agreement.
- B. Provide funding as described in Section VIII of this Agreement.
- C. Furnish COUNTY, in a timely manner, those rules, administrative directives and procedures required for COUNTY to meet its obligations described herein.
- D. Subject to system capacity and data processing capabilities, DEPARTMENT will furnish data, descriptive information and reports, available to DEPARTMENT and requested by COUNTY that will assist COUNTY in complying with DEPARTMENT requirements. DEPARTMENT hereby grants to COUNTY the right to reproduce, use, and disclose all or part of such reports, data, and technical information furnished under this Agreement.
- E. If by legislative action, funding from DEPARTMENT is reduced to COUNTY, DEPARTMENT agrees to provide reasonable notice and transition opportunity to COUNTY of changes that may significantly alter approved appropriations and programs.
- F. DEPARTMENT will provide technical assistance to COUNTY in implementing and evaluating COUNTY's Plan.

VII PERFORMANCE GOALS

Interventions funded under this Agreement will be evaluated by the DEPARTMENT for treatment effectiveness. Goals for the evaluation are to determine if:

- A. Treatment programs are evidence-based, as evaluated by the Corrections Program Checklist.
- B. Recidivism is reduced: Participants will recidivate at lower rates than similar untreated adults on supervision.
- C. Participants reduce drug use: Results of random urinalysis will be analyzed.
- D. Participants show evidence of improved community functioning: Improved community functioning will be measured by successful completion of the program and through the existing community corrections performance measures (successful completion of supervision, employment, payment of restitution and/or community service work).

VIII FUNDS

- A. Exhibit A identifies the Supplemental Funding Intervention Grant funds authorized under this Agreement for the implementation of the Plan during the term of this Agreement.
- B. Payment to COUNTY will be made in two payments. One-half of the Grant funds will be disbursed to County within 15 days after execution of this Agreement. The second half of the Grant funds will be disbursed on July 1, 2024.
- C. Both parties agree that all reallocations of Grant funds within programs shall require a Plan Modification.
- D. Unexpended Funds: Grant fund balances remaining at the termination of this Agreement may be retained by the COUNTY, upon approval by DEPARTMENT, for the provision of on-going supervision, correctional services, and sanctions in accordance with the Plan.
- E. Unauthorized Expenditures: Any Grant funds disbursed to COUNTY that are expended for unauthorized purposes, or any Unexpended Funds not retained by COUNTY under Section VIII.D, will be deducted by DEPARTMENT from subsequent payments under this Agreement or refunded to DEPARTMENT promptly upon DEPARTMENT's written request and no later than 15 days after DEPARTMENT's written request.
- F. **Maximum Grant Amount.** Grant funds are based upon COUNTY's Application for Supplemental Funds. Unless amended, the maximum, not-to-exceed Supplemental Funding Intervention Grant payable to COUNTY under this Agreement is \$283,063. The maximum Grant amount may be increased only by written amendment of this Agreement which is signed by all parties and with all required State approvals.
- G. Disbursement of Grant funds under this Agreement is contingent on DEPARTMENT receiving funding, appropriations, limitations, allotments, or other expenditure authority sufficient to allow DEPARTMENT, in the exercise of its reasonable administrative discretion, to make the disbursement.

IX NONCOMPLIANCE

- A. The Assistant Director of Community Corrections or the Assistant Director's designee of the Community Corrections Division shall review COUNTY's compliance with this Agreement. COUNTY must substantially comply with the provisions of the Plan received by DEPARTMENT and this Agreement.

If, upon review, DEPARTMENT determines that there are reasonable grounds to believe that COUNTY is not in substantial compliance with the Agreement or Plan, including but not limited to COUNTY has failed to meet standards of evidence-based treatment programs as required in Section V.B.1, DEPARTMENT and COUNTY shall proceed in accordance with OAR Chapter 291-031, to reach compliance or, if compliance is not obtained, to suspend funding.

- X **INDEMNIFICATION.** See Exhibit B, which is incorporated into and made part of this

Agreement.

XI TERMINATION

- A. Parties Right to Terminate at its Discretion. At its sole discretion, any party to this Agreement may terminate this Agreement for its convenience upon 30 days' prior written notice.
- B. Parties may terminate this Agreement immediately upon written notice if federal or state laws, regulations, or guidelines are modified or interpreted in such a way that DEPARTMENT or COUNTY cannot lawfully perform its obligations under this Agreement.
- C. It is understood and agreed by the parties hereto that this Agreement will remain in force only during its term and will not continue in force after its term. There will be no automatic extension. This Agreement may be extended only by written consent of the parties hereto.
- D. It is understood and agreed by the parties hereto that if any part, term or provision of this Agreement, including any part, term or provision of any appended material, is held by a court to be illegal or in conflict with any law of the State of Oregon or applicable administrative rule, that element of the contract including relevant appended materials will be void and without effect and will be treated by the parties as having been terminated as of the date of determination of the voidness.
- E. It is understood and agreed by the parties hereto that this Agreement will automatically terminate if the State of Oregon provides no funding. If there is reduced state funding, COUNTY may terminate the Agreement as described herein.

XII COMPLIANCE WITH APPLICABLE LAW

Both Parties shall comply with all federal, state and local laws, regulations, executive orders, and ordinances to which each is subject and which is applicable to this Agreement. Without limiting the generality of the foregoing, the parties expressly agree to comply with: (i) Title VI of the Civil Rights Act of 1964; (ii) Section V of the Rehabilitation Act of 1973; (iii) the Americans with Disabilities Act of 1990 and ORS 659A.142; (iv) all regulations and administrative rules established pursuant to those laws; and (v) all other applicable requirements of federal and state civil rights and rehabilitation statutes, rules and regulations. All employers, including COUNTY, that employ subject workers who work under this Agreement in the State of Oregon shall comply with ORS 656.017 and provide the required Workers' Compensation coverage unless such employers are exempt under ORS 656.126. COUNTY shall ensure that each of its subcontractors complies with these requirements.

Nothing in this Agreement shall require COUNTY or DEPARTMENT to act in violation of state or federal law or the Constitution of the State of Oregon.

XIII ACCESS TO RECORDS

For not less than six (6) years after Agreement expiration, DEPARTMENT, the Secretary of State's Office of the State of Oregon, the federal government, and their duly authorized

representatives shall have access to the books, documents, papers and records of COUNTY which are directly pertinent to this specific Agreement for the purpose of making audit, examination, excerpts, and transcripts. COUNTY shall retain all pertinent records until the later of (i) the date that is not less than six (6) years following the Agreement expiration date or (ii) the date on which all litigation regarding this Agreement is resolved. COUNTY agrees full access to DEPARTMENT will be provided in preparation for and during litigation. Copies of applicable records shall be made available upon request. DEPARTMENT shall reimburse COUNTY for the cost of copies DEPARTMENT requests.

XIV SURVIVAL

All rights and obligations shall cease upon termination or expiration of this Agreement, except for the rights and obligations set forth in Sections IV, X, XI, XII, XIII, XIV, and XV.

XV GOVERNING LAW; JURISDICTION; VENUE

The laws of the State of Oregon (without giving effect to its conflicts of law principles) govern all matters arising out of or relating to this Agreement, including, without limitation, its validity, interpretation, construction, performance, and enforcement. Any party bringing a legal action or proceeding against any other party arising out of or relating to this Agreement shall bring the legal action or proceeding in the Circuit Court of the State of Oregon for Marion County. Each party hereby consents to the exclusive jurisdiction of such court, waives any objection to venue, and waives any claim that such forum is an inconvenient forum.

XVI WAIVER

The failure of either party to enforce any provision of this Agreement will not constitute a waiver by that party of that or any other provision.

XVII EXECUTION AND COUNTERPARTS

This Agreement may be executed in several counterparts, each of which will be an original, all of which will constitute but one and the same instrument.

XVIII NOTICE

Except as otherwise expressly provided in this Agreement, any notices between the Parties to be given hereunder shall be given in writing by personal delivery, facsimile, electronic mail, or mailing the same, postage prepaid to COUNTY or DEPARTMENT at the address or number set forth below, or to such other addresses or numbers as any Party may indicate pursuant to this section. Any notice so addressed and mailed shall be effective five (5) days after mailing. Any notice delivered by facsimile shall be effective on the day the transmitting machine generates a receipt of the successful transmission, if transmission was during normal business hours of the recipient, or on the next business day, if transmission was outside normal business hours of the recipient. Any notice delivered by electronic mail shall be effective on the day of notification of delivery receipt, if delivery was during normal business hours of the recipient, or on the next business day, if delivery was outside normal business hours of the recipient. Any notice given by personal delivery shall be effective when actually delivered to the Authorized Representatives listed below:

To DEPARTMENT: Jeremiah Stromberg, Assistant Director
Community Corrections Division
Department of Corrections
3723 Fairview Industrial Drive SE, Ste 200
Salem, OR 97310
Telephone: 503-945-8876
Fax: 503-373-7810
E-Mail: Jeremiah.P.Stromberg@doc.oregon.gov

To COUNTY: Jessica Beach, Director
Yamhill County Department of Community Justice
615 E Sixth St.
McMinnville, OR 97128
Telephone: (503) 434-7513
Fax: (503) 472-5216
Email: beachj@co.yamhill.or.us

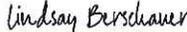
The Parties may change the persons named in this section by notice to the other Parties as provided herein. No amendment to this Agreement is required to make such change.

XIX MERGER; INTEGRATION

This instrument contains the entire agreement between the parties and no statement made by any party hereto, or agent thereof, not contained or attached with reference thereto in this written Agreement will be valid or binding. This Agreement will supersede all previous communications, representations, either verbal or written, between the parties hereto. This Agreement may not be enlarged, modified or altered except in writing, signed by the parties, and attached.

STATE OF OREGON
DEPT. OF CORRECTIONS
Digitally signed by Eric
McDowell
Date: 2024.02.22
11:31:00 -08'00'

Eric McDowell, Contracts Officer

YAMHILL COUNTY
DocuSigned by:

Signature

2/22/2024
Date

Chair, Board of Commissioners²/12/2024
Title Date

Reviewed by the
Oregon Attorney General's Office:

/s/ Benjamin Eckstein by email dated 8/22/2023
Assistant Attorney General

Approved by the BOC on: 2/8/24
via Board Order No.: 24-33

EXHIBIT A
SUPPLEMENTAL FUNDING INTERVENTION PLAN and BUDGET SUMMARY
YAMHILL COUNTY.
(To be attached upon signature and return of Agreement by County)

ATTACHMENT B
2023-2025 M57 Supplemental Funds
Intervention Program Budget Summary

Program Expenses (please be detailed)	2021-2023 M57 Supplemental Funds Carryover	2023-2025 M57 Supplemental Funds	Other State Funds	County/Local Funds	Total
<i>A. Supervision Related Personnel Costs</i> Salaries and wages (include position FTE and type) Payroll taxes and benefits		.92 FTE - Parole & Probation Officer \$251,063	.08 FTE - Parole & Probation Officer \$20,922		\$271,985
<i>B. Materials and Services (be detailed)</i>		Transitional Housing \$32,000			\$32,000
<i>C. Treatment Provider and/or Contracted Professional Services (be detailed)</i>					
<i>D. Sanction Costs (by type)</i>					
<i>E. Capital Outlay and StartUp Costs</i>					
<i>Total</i>		\$238,063	\$20,922		\$303,985



Yamhill County Department of Community Justice

615 E. Sixth Street, McMinnville, Oregon 97128 · Phone: 503-434-7513 · Fax: 503-472-5216

Yamhill County Department of Community Justice (YCDCJ) DOC M57 2023-25 Supplemental Funds for Drug Addicted Persons Application

APPLICATION COVER PAGE

Appendix A

COUNTY NAME: Yamhill County

CONTACT NAME: Jessica Beach

CONTACT ADDRESS: 615 NE 6th St.
McMinnville, Oregon 97128

TELEPHONE NUMBER: (503 474-4942

E-MAIL ADDRESS: beachj@co.yamhill.or.us

Participant population to be served:

All medium/high risk Adults on Supervision (AOS) currently serving Measure 57 Property offenses that have a substance abuse addiction. Yamhill County Department of Community Justice (YCDCJ) has a dedicated M57 caseload Parole and Probation Officer (PPO) although a portion of the M57 population is enrolled in specialty courts and are then supervised by the specialty court PPO (i.e., Adult Recovery Court and Court Coordinated Services (Behavioral Health Court)).

Number of individuals who will participate in the program:

At any given time (program capacity): 50

Number of participants per year: 100

III. Application Content

A. Description of Services

Describe the intervention your county proposes to provide by answering the following questions. Be sure to integrate into your responses how the standards for intervention described in Section II will be addressed.

1. Describe your intervention approach. How will you use supervision, treatment, interventions, and sanctions to reduce drug abuse and criminal behavior?

Yamhill County Department of Community Justice (YCDCJ) understands the importance of swift and certain consequences, as well as regularly assessing AOS' needs to make effective interventions. This is especially true when addressing a challenging and high-risk AOS population. In addition, YCDCJ recognizes that without community-based treatment services and the appropriate level of supervision, we cannot effectively achieve our mission to uphold community safety and promote prosocial behavior change. Therefore, the following proposal for supervision, treatment, and immediate sanctions outlines a collaborative approach to target motivation, and other responsivity issues, as necessary to produce successful outcomes.

YCCC will work in partnership with the Yamhill County Sheriff's Office (YCSO) and the Yamhill County Health and Human Services (HHS) to provide:

- Intensive supervision with cognitive and motivational interventions for medium/high risk AOS (all measure 57 offenses, including felony predicate offenses).
- Completion of the Public Safety Checklist, Levels of Service Case Management Inventory (LS/CMI) for our male population and Women's Risk Needs Assessment (WRNA) for our female population.
- Development of case plans to address criminogenic needs, stage of change, short and long-term goals, responsivity issues, risk, and AOS strengths.
- Completion of University of Rhode Island Change Assessment (URICA) stage of change assessment administered upon intake on to community supervision.
- Based upon risk assessment, criminogenic needs, stage of change assessment, and severity of the addiction, the PPO will refer to specialized program options.

Program options include motivation to change programs, Thinking 4 a Change (T4C) for our male population, Moving On for our female populations, outpatient alcohol and drug treatment services, outpatient mental health services, and intensive supervision with interactive journaling through the Carey Guides and BITS. Journaling and homework will target the highest areas of criminogenic need as identified by the LS/CMI and WRNA. All sanctions will be imposed in accordance with Oregon-state structured sanctions grid. Quick and appropriate intermediate sanctions and interventions will be graduated and include program referral, additional journal/homework assignments, electronic monitoring, community service, work crew, curfew, and jail.

- Incentives will be clearly identified and used to reinforce desired prosocial behaviors. Research clearly indicates that incentives should be used at a ratio of 8 to 1 versus sanctions.

- Treatment groups will be organized by gender.
- Data will be collected via CIS and participants will sign a consent allowing data to be shared from PRISM and CPMS.

2. Describe the treatment program design, including expected duration and intensity.

YCDCJ will be utilizing several assessment and treatment options depending on the AOS' criminogenic and clinical needs. Engagement with AOS will serve as a platform to build motivation, develop rapport, and select mutual goals for supervision and treatment. In such case planning, we view this process as dynamic and beneficial when developed in partnership with the AOS, also including regular updates with sanction imposition as well as celebration of achievements. If the AOS has identified with mental health needs, they are referred to HHS Behavioral Health for screening. In summary, the overall spectrum of services will include:

- URICA motivational assessment to determine stage of change and, if indicated, further ASAM evaluation to determine level of care needed
 - Emphasis will be on smooth transitions from jail and prison to the community and between program areas, including a re-entry plan to be completed by the AOS with guidance from YCDCJ staff.
 - Jail-based services, such as motivational classes, may be offered to increase level of motivation while and when incarceration/sanction becomes necessary.
 - Re-entry planning will include structure, support persons, resources, housing, employment; and treatment activities including relapse prevention strategies.
 - Referral to treatment services is based upon stage of change and ASAM PPC 2 placement criteria to assist in determining required level of care:
 - *Yamhill County Chemical Dependency:*
 - 1) Walk in access to Peer supports and Screening – for those with questions or first looking to get help.
 - 2) Referral to detox or residential treatment programs – assistance with calling to find bed availability.
 - 3) Integrated assessment for MH and A&D needs – a comprehensive intake assessment and service plan to inform treatment recommendations.
 - 4) Medication Assisted Treatment – specifically to manage withdrawal from opioid and alcohol abuse.
 - 5) DUII diversion and conviction program – state certified program for DUII treatment.
 - 6) Outpatient alcohol and drug treatment
 - 1.0 outpatient – weekly services that occur less than 9hr/week
 - 2.1 intensive outpatient – 9+ hours a week of services
 - 2.5 partial hospitalization (day treatment) – 20+ hours a week of services
 - 7) Adult Recovery Court (ARC) program – specialty court program is partnership between courts and treatment providers to address court mandated recovery needs.
 - 8) Transitional Treatment and Recovery services – congregate housing for parents with young children going through intensive outpatient SUD treatment.
 - 9) Culturally and language appropriate services available for Spanish speaking individuals
 - Drug Court Program Referral
 - The Day Management Center (DMC) motivational and cognitive behavioral programming
- It should be noted that while there is a full spectrum of services available to each AOS based on risk, need and responsivity issues, YCDCJ will primarily fund the intensive supervision with these

supplemental funds. AOS who enter Level I or Level I outpatient care and/or the Drug Court program will be funded through other existing resources.

- Intake and orientation forms will be completed for all programs to include program description, criteria for successful completion, expectations, and rules for participation.

Additional services provided by HHS will be targeted in dosage, duration, and intensity to address level of risk and clinical need. HHS is a state licensed program and offers a spectrum of services, delivered by senior staff who specialize in treating criminal AOS. Services are available 6 days a week, from early in the morning to late in the evening and can be structured around the AOS' schedule in case they are employed during the day. Treatment groups are gender specific. Bilingual and bicultural staff are available to serve Spanish speaking populations. HHS also partners with Yamhill County Behavioral Health (YCBH) to address co-occurring disorders if clinically necessary.

The intensive outpatient program provides intensive outpatient services to a drug addicted population. HHS believes in this program's ability to positively impact the motivated chemically dependent medium or high-risk AOS. Program staff understand the importance of role playing with increased difficulty and targeting associates to change criminal behavior. Service delivery is grounded in cognitive behavioral interventions and social learning theory; staff routinely model respect, responsibility, and values-driven decision making when they interact with offenders.

The intensive outpatient program also utilizes a standard system for AOS incentives. Research has demonstrated that the effective use of client incentives can increase treatment engagement, retention, and drug-free results in abstinence monitoring during treatment. Further, duration of abstinence during treatment is a powerful predictor of abstinence one year after discharge from treatment. Substance Abuse and Mental Health Services Administration (SAMHSA) guidelines allow use of AOS incentives to: (1) encourage program enrollment; (2) retain individuals in the program and encourage them to meet treatment benchmarks; and (3) encourage individuals to return for follow-up evaluation and data collection.

The Day Management Center (DMC):

The mission of the DMC is to target individuals who are high risk for re-offense as determined by the LS/CMI and WRNA risk assessment. In addition, it is understood that not all individuals with high need for substance abuse treatment are internally motivated at the time of referral. These services include the administration of the URICA. Phase I includes an initial motivational group. Phase II includes individual and group participation for 3-9 months in T4C for male AOS and Moving On for our female AOS, depending on their criminogenic need. At the end of phase II, clients strengthen their commitment to change and prepare to transition to community-based recovery support systems. Upon successful completion, offenders are re-assessed with the URICA and LS/CMI or WRNA. Our incentive program allows for the allotment of a variety of incentives throughout program participation. In addition, the DMC program is developing a system of graduated incentives to reinforce prosocial behavior. This is being done in concert with our chemical dependency staff to build upon the fishbowl model that is already being utilized at HHS.

Our DMC Programming targets motivation as a key determinant to achieving successful outcomes. Groups are short-term, open, and aimed at one primary population: our highest risk, pre-contemplative group of AOS who are routinely system involved, but in need of an effective alcohol and drug and cognitive intervention. Some of these individuals have sustained periods of abstinence and/or have even completed multiple treatment episodes and, while not formally entering treatment

currently, they benefit from the increased structure, accountability, peer support, and strengthening motivation for change. All AOS also receive regular drug testing, also available on weekends in partnership with the Sheriff's Office at the Yamhill County Corrections Facility. DMC program participants regularly role play new skills with increasing difficulty as they progress through the program.

All services described above are located at DMC. Some persons are involved with the structured job search component of the DMC including a University of Cincinnati Ready to Work curriculum, while others only attend the offered cognitive and motivational programs. DMC classes utilize evidence-based curricula such as Motivation to Change, Ann Fields' Curriculum Based Motivational Group, Change Directions (cognitive restructuring) and Moral Reconciliation Therapy (MRT). As mentioned previously, T4C, Moving On and Ready to Work are offered curriculum. At any point in program participation, DMC facilitator staff may recommend that a client enter regular outpatient services at HHS if motivation is high and treatment needs will be better addressed through one of the traditional service sites.

Once an AOS completes a motivational group session the primary counselor decides as to the number of groups needed per week and designs a "change plan" with input from the AOS. The change plan targets key areas of criminogenic need and clearly identifies what classes and activities the AOS will complete in Phase II. Regular monthly case staffings are conducted between DMC staff and PPO of record to address AOS progress.

3. Describe any collaboration in your approach, including local criminal justice system and local servicers' providers.

Yamhill County is fortunate to have an excellent team of stakeholders who regularly collaborate on community justice issues. There are regular Local Public Coordinating Committee Meeting focused on solving operations issues, as well as designing policy.

Some of these members currently include:

Ladd Wiles, Presiding Circuit Court Judge

Lindsey Manfrin, Health and Human Services Director

Jason Henness, Health and Human Service Deputy Director

Jessica Beach, YCDCJ Director

Lindsey Berschauer, Commissioner

Brad Berry, District Attorney

Tim Svenson, Sheriff

Cecelia Martinez, DA Victim Advocate and Business Manager

Overall, our project is built upon systems overlap and relies upon partnership. All AOS will be engaged in services and supervision with at least two agencies, at a minimum, as well as the court system, jail, and other alternative sanction options. The primary treatment provider with HHS and supervision team will consist of a PPO and a certified addictions counselor who will work together to balance offender change with accountability to ensure positive outcomes for our community. Mentoring services are also provided through partnership with Provoking Hope and HHS for those in need of additional support. Local sober housing is also available through Helping Hand and our local Oxford Houses.

4. What research or evidence is there that supports the approach? If the approach has been in operation for at least a year, what have been the outcomes of the approach? If the approach has

been in operation for at least a year, how do participants rate on the community corrections performance measures (recidivism, successful completion of supervision, employment, benefit from treatment, payment of restitution and/or community service work)?

All the intervention strategies described in this narrative are evidence-based. DMC programs have been in place for many years but have been modified as newer curriculum and evidence-based practices have emerged such as T4C, Moving On and Ready to Work Programs. Outcome measures as gathered and via regular Department of Corrections Community Corrections Plans show our evidence-based programs and balanced use of sanctions, incentives and program referrals is producing behavior change. Per our last DOC completed CPC, our DMC program received an overall score of 80 which falls into the highly effect category. In addition, there are several other strategies used that are evidence based such as:

Interactive Journaling and homework assignments used with the AOS by the PPO, following curricula developed by Carey Group. This cognitive behavioral system allows the PPO to address the highest areas of criminogenic needs identified in the LS/CMI and WRNA. The same products are being use by in Oregon and many states nationally.

Motivational Interviewing (MI) skills in conjunction with the Stages of Change (SOC) model is employed. Both motivational interviewing and stages of change with motivational interviewing are found as best practices in the enhancing motivation for change in substance abuse and addiction. Role playing has also emerged as an interview technique to allow AOS the opportunity to practice new prosocial skills.

Incentives and sanctions have been demonstrated to be an integral element of community supervision. Research indicates that incentives are often more effective in supporting behavior change, but sanctions are frequently necessary to support the development of accountability of AOS and protect the community. Incentives and sanctions are individualized for each participant in this project and will be determined through case staffing with the clinician, case manager, and parole/probation officer as well as partnership with the AOS.

The Yamhill County Adult Drug Court (ARC) and Court Coordinating Services (CCS- Behavioral Health Court) will continue to play an important role in the continuum and continuity of care for program participants. Although ARC has been successful within the county for many years our Judges are very interested in expanding our activities to a more specialized "high" risk population, especially in light of our recent 2023-25 Criminal Justice Commission Specialty Court grant finds.



Yamhill County Local Public Safety Coordinating Council

615 E. Sixth Street, McMinnville, Oregon 97128 · Phone: 503-434-4952 · Fax: 503-472-5216

October 26, 2023

Acting Director
Oregon Department of Corrections (ODOC)
2575 Center Street NE
Salem, Oregon 97301-4667

Dear ODOC Director,

This letter is included with the 2023-2025 ODOC M57 application submitted by Yamhill County Department of Community Justice (YCDCJ). This year represents over 45 years of collaborative partnership with the State of Oregon in the administration of local community corrections since 1977. It should be noted that Yamhill County was the second county in Oregon to choose full participation in a then newly emerging design of county operated correctional programs.

The YCDCJ 2023-25 ODOC M57 application was presented to and approved by our Local Public Safety Coordinating Council on 10/17/23 for submission to ODOC with the final approval of the Yamhill County Board of Commissioners on 10/26/23.

YCDCJ continues to strive for implementation of the most evidence-based correctional practices as is mandated by law and associated with best supervision outcomes. YCDCJ staff continue to receive regular trainings regarding the most innovative and best practices. In partnership with local criminal justice stakeholders, Yamhill County is focusing on integrating evidence-based decision making throughout our local criminal justice system to reduce recidivism rates and the use of state prison beds. As a team, our current areas of focus continue to be sentencing reform, pretrial justice and gender specific services with consideration of input and improvement of service delivery to our local historically underserved communities.

I believe our long history of collaboration in a criminal justice system at the county level continues to promote partnership and system enhancements. When we work together, we find the most efficiency and success for community supervision, services, and sanctions. We offer our thanks for accepting and reviewing our ODOC M57 application for the 2023-2025 biennium.

Cordially,

Russell Mark
Juliette's House, President/CEO
LPSCC Chair

**EXHIBIT B
INDEMNIFICATION
YAMHILL COUNTY**

Contribution

If any third party makes any claim or brings any action, suit or proceeding alleging a tort as now or hereafter defined in ORS 30.260 ("Third Party Claim") against a party (the "Notified Party") with respect to which the other party ("Other Party") may have liability, the Notified Party must promptly notify the Other Party in writing of the Third Party Claim and deliver to the Other Party a copy of the claim, process, and all legal pleadings with respect to the Third Party Claim. Either party is entitled to participate in the defense of a Third Party Claim, and to defend a Third Party Claim with counsel of its own choosing. Receipt by the Other Party of the notice and copies required in this paragraph and meaningful opportunity for the Other Party to participate in the investigation, defense and settlement of the Third Party Claim with counsel of its own choosing are conditions precedent to the Other Party's liability with respect to the Third Party Claim.

With respect to a Third Party Claim for which the Department is jointly liable with the County (or would be if joined in the Third Party Claim), the Department shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by the County in such proportion as is appropriate to reflect the relative fault of the Department on the one hand and of the County on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of the Department on the one hand and of the County on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines or settlement amounts. The Department's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if the Department had sole liability in the proceeding.

With respect to a Third Party Claim for which the County is jointly liable with the Department (or would be if joined in the Third Party Claim), the County shall contribute to the amount of expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred and paid or payable by the Department in such proportion as is appropriate to reflect the relative fault of the County on the one hand and of the Department on the other hand in connection with the events which resulted in such expenses, judgments, fines or settlement amounts, as well as any other relevant equitable considerations. The relative fault of the County on the one hand and of the Department on the other hand shall be determined by reference to, among other things, the parties' relative intent, knowledge, access to information and opportunity to correct or prevent the circumstances resulting in such expenses, judgments, fines or settlement amounts. The County's contribution amount in any instance is capped to the same extent it would have been capped under Oregon law if it had sole liability in the proceeding.

Alternative Dispute Resolution

The parties should attempt in good faith to resolve any dispute arising out of this Agreement. This may be done at any management level, including at a level higher than persons directly responsible for administration of the Agreement. In addition, the parties may agree to utilize a jointly selected mediator or arbitrator (for non-binding arbitration) to resolve the dispute short of litigation.

Indemnification by Subcontractors

County shall take all reasonable steps to cause its contractor(s) that are not units of local government as defined in ORS 190.003, if any, to indemnify, defend, save and hold harmless the State of Oregon and its officers, employees and agents ("Indemnitee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including attorneys' fees) arising from a tort (as now or hereafter defined in ORS 30.260) caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of County's contractor or any of the officers, agents, employees or subcontractors of the contractor ("Claims"). It is the specific intention of the parties that the Indemnitee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnitee, be indemnified by the contractor from and against any and all Claims.

Subcontractor Insurance Requirements

GENERAL.

County shall require its first tier contractor(s) that are not units of local government as defined in ORS 190.003, if any, to: i) obtain insurance specified under TYPES AND AMOUNTS and meeting the requirements under, "TAIL" COVERAGE, NOTICE OF CANCELLATION OR CHANGE, and CERTIFICATES OF INSURANCE before the contractors perform under contracts between County and the contractors (the "Subcontracts"), and ii) maintain the insurance in full force throughout the duration of the Subcontracts. The insurance must be provided by insurance companies or entities that are authorized to transact the business of insurance and issue coverage in the State of Oregon and that are acceptable to Department. County shall not authorize contractors to begin work under the Subcontracts until the insurance is in full force. Thereafter, County shall monitor continued compliance with the insurance requirements on an annual or more frequent basis. County shall incorporate appropriate provisions in the Subcontracts permitting it to enforce contractor compliance with the insurance requirements and shall take all reasonable steps to enforce such compliance. Examples of "reasonable steps" include issuing stop work orders (or the equivalent) until the insurance is in full force or terminating the Subcontracts as permitted by the Subcontracts, or pursuing legal action to enforce the insurance requirements. In no event shall County permit a contractor to work under a Subcontract when the County is aware that the contractor is not in compliance with the insurance requirements. As used in this section, a "first tier" contractor is a contractor with which the county directly enters into a contract. It does not include a subcontractor with which the contractor enters into a contract.

TYPES AND AMOUNTS.

PROFESSIONAL LIABILITY

Professional Liability Insurance covering any damages caused by an error, omission or negligent act related to the services to be provided under the Subcontract, with limits not less than \$2,000,000, as determined by the Department:

"TAIL" COVERAGE. If any of the required insurance policies is on a "claims made" basis, such as professional liability insurance, the contractor shall maintain either "tail" coverage or continuous "claims made" liability coverage, provided the effective date of the continuous "claims made" coverage is on or before the effective date of the Subcontract, for a minimum of 24 months following the later of : (i) the contractor's completion and County's acceptance of all Services

required under the Subcontract or, (ii) the expiration of all warranty periods provided under the Subcontract. Notwithstanding the foregoing 24-month requirement, if the contractor elects to maintain "tail" coverage and if the maximum time period "tail" coverage reasonably available in the marketplace is less than the 24-month period described above, then the contractor may request and Department may grant approval of the maximum "tail" coverage period reasonably available in the marketplace. If Department approval is granted, the contractor shall maintain "tail" coverage for the maximum time period that "tail" coverage is reasonably available in the marketplace.

NOTICE OF CANCELLATION OR CHANGE. The contractor or its insurer must provide 30 days' written notice to County before cancellation of, material change to, potential exhaustion of aggregate limits of, or non-renewal of the required insurance coverage(s).

CERTIFICATE(S) OF INSURANCE. County shall obtain from the contractor a certificate(s) of insurance for all required insurance before the contractor performs under the Subcontract. The certificate(s) or an attached endorsement must specify: i) all entities and individuals who are endorsed on the policy as Additional Insured and ii) for insurance on a "claims made" basis, the extended reporting period applicable to "tail" or continuous "claims made" coverage.